

09/19/00  
jc931 U.S. PTO

FORM PTO-1082

Express Mail EL 549 326 341 US

PATENT

Atty. Docket No. 31428-1002

Date: September 19, 2000

UTILITY PATENT APPLICATION TRANSMITTAL and FEE TRANSMITTAL

Box PATENT APPLICATION  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:  
Transmitted herewith for filing is the patent application of:

Inventor(s): Ping YIP  
For: METHOD AND DEVICE FOR IDENTIFYING A BIOLOGICAL SAMPLE

Enclosed are:

- ☒ Specification, Claims, and Abstract (34 pages)  
☒ 17 Sheet(s) of drawing (\_\_\_ formal, ☒ informal) Will follow.  
Form PTO-1595 and an assignment of the invention to: \_\_\_\_\_  
A certified copy of \_\_\_\_\_ from which priority is claimed in the subject case pursuant  
to Rule 55b and 35 U.S.C. 119. \_\_\_ Will follow.  
An associate Power of Attorney. \_\_\_ Will follow.  
☒ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27. \_\_\_  
Will follow.  
☒ Combined Declaration and Power of Attorney (unsigned). \_\_\_ Will follow.  
☒ Information Disclosure Statement, Form PTO-1449, and 2 cited references  
☒ Postcard

FOR:	NO. FILED	In Excess	NO. EXTRA	SMALL ENTITY			LARGE ENTITY	
				RATE	FEE		RATE	FEE
BASIC FEE				\$345.00	\$345.00	OR	\$690.00	\$
TOTAL CLAIMS	45	- 20	= 25	x \$ 9.00	\$225.00	OR	x\$ 18.00	\$
INDEP CLAIMS	5	- 3	= 2	x \$ 39.00	\$ 78.00	OR	x\$ 78.00	\$
PRESENTATION OF MULTIPLE DEPENDANT CLAIM				+ \$130.00	\$ 0 00	OR	+ 260.00	\$
				TOTAL	\$648.00	OR	TOTAL	\$ 0.00

- ☒ Check Number 72610 in the amount of \$648.00 to cover the filing fee is enclosed.  
Check Number \_\_\_\_\_ in the amount of \$ 0.00 to cover the Assignment Recordation Fee.

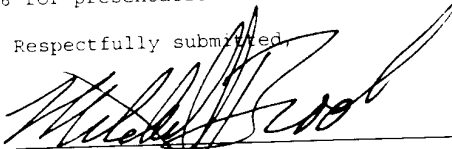
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-0410 of Baker & McKenzie.  
A duplicate copy of this sheet is enclosed.

- ☒ Any additional filing fees required under 37 CFR 1.16.  
☒ Any patent application processing fees under 37 CFR 1.17.

The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 02-0410. A duplicate copy of this sheet is enclosed.

- Any patent application processing fees under 37 CFR 1.17.  
The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).  
Any filing fees under CFR 1.16 for presentation or extra claims.

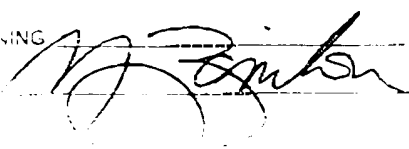
Respectfully submitted,

  
Mitchell P. Brook  
Registration No. 32,967  
c/o BAKER & MCKENZIE

jc922 U.S. PTO  
09/663968  
09/19/00

PTO/SB/10 (1-99)  
Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>STATEMENT CLAIMING SMALL ENTITY STATUS</b> <b>(37 CFR 1.9(f) &amp; 1.27(c))--SMALL BUSINESS CONCERN</b>		Docket Number (Optional) <b>31428-1002</b>
Applicant, Patentee, or Identifier: <u>PING YIP</u> Application or Patent No.: <u>TBA</u> Filed or Issued: <u>September 19, 2000</u> Title: <u>METHOD AND DEVICE FOR IDENTIFYING A BIOLOGICAL SAMPLE</u>		
I hereby state that I am <input type="checkbox"/> the owner of the small business concern identified below. <input checked="" type="checkbox"/> an official of the small business concern empowered to act on behalf of the concern identified below:		
NAME OF SMALL BUSINESS CONCERN <u>SEQUENOM, INC.</u>		
ADDRESS OF SMALL BUSINESS CONCERN <u>11555 SORRENTO VALLEY ROAD</u> <u>SAN DIEGO, CALIFORNIA 92121-1331</u>		
I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416.		
I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:		
<input checked="" type="checkbox"/> the specification filed herewith with title listed above. <input type="checkbox"/> the application identified above. <input type="checkbox"/> the patent identified above.		
If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).		
Each person, concern, or organization having any rights in the invention is listed below: <input checked="" type="checkbox"/> no such person, concern, or organization exists. <input type="checkbox"/> each such person, concern, or organization is listed below.		
Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)		
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))		
NAME OF PERSON SIGNING <u>STEVE ZANIBONI</u>		
TITLE OF PERSON IF OTHER THAN OWNER <u>CHIEF FINANCIAL OFFICER</u>		
ADDRESS OF PERSON SIGNING _____		
SIGNATURE 		DATE <u>9.19.00</u>

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09/663968

09/19/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Art Unit: Unknown
Ping YIP	)	
	)	Examiner: Unknown
Serial No.: TBA	)	
	)	
Filed: September 19, 2000	)	
	)	
For: METHOD AND DEVICE FOR	)	
IDENTIFYING A BIOLOGICAL	)	
SAMPLE	)	

10922 U.S. PTO  
09/663968  
09/19/00

San Diego, California 92101  
September 19, 2000

BOX PATENT APPLICATION  
Assistant Commissioner For Patents  
Washington, D.C. 20231

**CERTIFICATE OF EXPRESS MAILING**

Dear Sir:

"Express Mail" mailing label No. EL 549 326 341 US

Date of Deposit: September 19, 2000

I hereby certify that a Patent Application including the Specification, Abstract, and claims (34 pages), informal drawings (FIGS. 1-33 on 17 pages), Combined Declaration for Patent Application and Power of Attorney (unsigned 2 pages), check in the amount of \$648.00, Utility Patent Application Transmittal and Fee Transmittal (in duplicate), Statement Claiming Small Entity Status, and return postcard are being deposited with the U.S. Postal Service "Express Mail Post Office to the Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.